

1 **TITLE PAGE**

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5 **Emergence and outcome of the SARS-CoV-2 “Marseille-4” variant**

6 **Short title: Outcome of the Marseille-4 genotype**

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25

26 **Abstract**

27 **Background.** In Marseille, France, following a first COVID-19 outbreak between April and
28 May 2020, a second epidemic wave occurred from June, involving ten new successive or
29 concomitant SARS-CoV-2 variants. Of these, the Marseille-4 variant caused an epidemic that
30 started abruptly in August, rapidly replacing other variants, and is still ongoing.

31 **Methods and results.** By sequencing the genomes of 1,038 SARS-CoV-2 strains which we
32 deposited in the GISAID database (<https://www.gisaid.org/>) and compared to the other SARS-
33 CoV-2 sequences available in the same database, we found that the Marseille-4 variant was
34 characterized by a specific combination of 20 mutations compared to the Wuhan-Hu-1 strain.
35 In particular, we found one mutation leading to an amino acid change S477N in the receptor
36 binding domain of the spike protein that is targeted by the current vaccine. Using a
37 specifically-designed qPCR assay, we observed that 12 to 100% of SARS-CoV-2 infections in
38 Marseille were caused by this variant. Altogether, we identified 2106 cases of infection by
39 this variant from September 1st, 2020, to January 20th, 2021. Compared to the 20A variant that
40 predominated during the first epidemic phase, the Marseille-4 variant was associated to a
41 higher frequency of hypoxemia. In addition, eleven patients developed a confirmed Marseille-
42 4 infection months after a first SARS-CoV-2 infection, suggesting either a short term
43 protective immunity or a lack of cross-immunity between the earlier strains and this variant.
44 Its origin remains unknown but its sudden appearance, involving 13 hallmark mutations,
45 points toward an animal reservoir, possibly the mink.

46 **Conclusion.** Together with the 20I/501Y.V1 variant in the United Kingdom and the
47 20H/501Y.V2 variant in South Africa, Marseille-4 should be considered as a major SARS-
48 CoV-2 variant that emerged since summer 2020, became predominant locally and rapidly
49 spread in Europe, Asia, Africa and North America. The protective role of the current vaccines
50 against this variant should be evaluated.

TEXT

51

52 **Introduction**

53 The SARS CoV-2 epidemic that started in Wuhan, China, in December 2019, has
54 rapidly spread around the world (<https://coronavirus.jhu.edu/map.html>). From January 2020,
55 at the Mediterranee Infection institute (IHU) in Marseille, we set up the routine diagnosis of
56 SARS-CoV-2 by PCR (1, 2). The first SARS-CoV-2-infected patient was diagnosed at the
57 IHU on 02/27/2020 (3, 4) (<https://www.mediterranee-infection.com/covid-19/>). Since then,
58 we performed more than 450,000 SARS-CoV-2 PCR tests, 2,000 virus isolations by cell
59 culture, 2,000 whole genome sequencings and took care of 14,000 positive cases. In Europe,
60 the SARS-CoV-2 epidemic was characterized by two major episodes. The first one, herein
61 referred to as episode 1, started in February and almost ended in May (5). However, at the end
62 of June a second episode suddenly occurred, exhibiting an atypical epidemic curve which led
63 us to suspect that the two episodes were caused by distinct viral variants. Hence, we
64 performed whole genome sequencing of SARS-CoV-2 strains over time to characterize their
65 genetic diversity. This enabled us to identify 10 distinct genomic patterns that successively or
66 concomitantly spread in the Marseille area (6). Of these, two variants were identified at high
67 frequency in the population of individuals diagnosed at the IHU. The Marseille-1 variant
68 caused mild infections in younger patients and predominated from the end of June to the end
69 of July 2020 (5). We accumulated evidence indicating that this virus originated in Africa and
70 was brought to Marseille by ferry boat travelers and sailors from North Africa (5). In France,
71 the Marseille-1 variant did not spread outside Marseille and vanished rapidly. On July 29th,
72 2020, a new variant was identified and named Marseille-4 (**Figures 1, 2**).

73 **Identification and circulation of the Marseille-4 variant**

74 The highly transmissible Marseille-4 SARS-CoV-2 variant identified in Marseille at
75 the end of July 2020 rapidly became predominant, reaching 100% of identified viral strains in

76 the area on November 2nd. Using genome sequences available through the GISAID database
77 (<https://www.gisaid.org/>), we traced back the outbreaks of this variant in different countries.
78 The first case of infection with the Marseille-4 variant, named 20A.EU2 in the Nexstrain
79 classification (<https://clades.nextstrain.org/>) (7), was detected in a German patient on March
80 24th. Then, two cases were detected in a Balearic island, Spain, on May 29th and June 18th.
81 Additional cases were detected in Southwestern France from July 9th, then in Denmark, and
82 from August 1st in other European countries and other regions of France (**Figures 1, 2;**
83 **Supplementary Figure S1**) (7). It was detected from September in North America (Canada,
84 then USA), Australia and New Zealand, from October in Asia (Thailand, Hong Kong,
85 Singapore and South Korea) and Africa (Tunisia and Morocco) and from December in Israel.
86 In Marseille, 269 Marseille-4 complete genomes were sequenced from infected patients, and a
87 Marseille-4-specific qPCR (Supplementary material) was designed that enabled rapid
88 identification of an additional 1579 cases. Overall, this variant caused 2106 cases and
89 accounted for about two-thirds of all SARS-CoV-2 viruses tested from September 2020 to
90 January 2021 in our place.

91 **Genomic features**

92 The Marseille-4 variant evolved from clade 20A strains and is characterized by a
93 combination of 20 mutations compared to the Wuhan-Hu-1 strain. Among these mutations, 13
94 (C4543T, G5629T, G9526T, C11497T, G13993T, G15766T, A16889G, G17019T, G22992A,
95 C25710T, T26876C, G28975C, and G29399A) are hallmarks of this variant (**Supplementary**
96 **Figures S2**). We provisionally subdivided the Marseille-4 variant into 11 subgroups
97 (Marseille-4-A1 to Marseille-4-J) with a genetic drift ranging from 21 to 24 mutations
98 compared to the Wuhan-Hu-1 strain (**Table 1**). Strikingly, comparative genomics shows that
99 the set of 13 hallmark mutations appeared altogether. They are losses of a G in 7 cases and of
100 a C in three cases, and are scattered along the viral genome. Seven (46%) are nonsynonymous

101 mutations, including two located in the RNA-dependent RNA polymerase (RdRp) (Nsp14;
102 A176S and V767L), two in the NTPase/helicase (Nsp13; K1141R and E1184D), two in the
103 nucleocapsid (N; M234I and A376T) and one in the spike glycoprotein (S; S477N). The latter
104 substitution lies between mutations observed in viruses infecting humans and others seen in
105 viruses infecting minks (**Figure 4**) (8). In addition, it is located in a domain of the spike
106 protein that is a major target for neutralizing antibodies and the current vaccines (9). These
107 data could explain the lack of resistance to infection by this Marseille-4 variant among people
108 previously infected with different strains that circulated earlier during the first phase of the
109 2020 pandemic. Fifteen additional mutations (C222U, C503U, G2600U, A2647G, C8937U,
110 G18105U, C23191U, G25534U, U26442C, G26720U, G27877U, C27942U, G28086U,
111 G29701A, G29511U) have been observed in ≥ 5 viral genomes obtained in our institute.
112 Overall, 283 nucleotide positions are mutated in ≥ 1 Marseille-4 genomes, mostly in the Nsp3
113 and S genes. They were most frequently C>U (36%), G>U (25%), U>C (8%), G>A (6%), and
114 A>G (5%) mutations, and U>- deletions (6%). Phylogenetically, the Marseille-4 variant fell
115 within a group of isolates from Europe only (**Figure 3; Supplementary Figure S3**).

116 The Marseille-4 variant harbors the S477N substitution within the RBD of the spike
117 glycoprotein that attaches the virion to the cell membrane by binding to the viral receptor
118 ACE2, and mediates viral entry (10), and is a major target of neutralizing antibodies (11) and
119 the current vaccines (9) (**Figure 4**). S477N adds to the D614G substitution that was reported
120 to increase the stability of spike trimers and confers greater affinity for ACE2 (12). It is
121 worthy to note that the first genome available in the GISAID database that originates from
122 Germany on March 24th 2020, did not harbor this S477N substitution, which may explain that
123 it did not apparently spread further. Other critical mutations may be substitution Q57H in
124 ORF3a, a viroporin that forms ion channels and was reported as required for viral replication,
125 virulence and release, and is also predicted to be a pro-apoptotic protein (13, 14), and

126 substitutions A176S in the RdRp and K1141R and E1184D in the NTPase/helicase.

127 **In search for the origin of the Marseille-4 variant**

128 The origin of the Marseille-4 variant is currently unknown. It emerged abruptly with
129 its block of specific mutations, with no known intermediate form, while the SARS-CoV-2
130 epidemic had almost ended in France and Europe (**Figure 3**). This apparently discontinuous
131 evolution of SARS-CoV-2 genomes is abnormal, particularly if we consider that after its first
132 detection this variant had shown a subsequent mutation rate similar to that of other lineages
133 (e.g., mutation in the RdRp did not alter the polymerase fidelity). Although we cannot exclude
134 that the missing intermediate exists but has not been sequenced so far from COVID-19
135 patients, this could also suggest that there is an overlooked reservoir in which the virus was
136 submitted to a selection pressure that favored a particular increase in mutation accumulation.
137 Interestingly, among the 10,516 sequences from the Marseille-4 variant in the GISAID
138 database, the 272 genomes from our laboratory had close relatives with those originating from
139 Northern Europe, mostly Denmark (3,366), the UK (2,652) and Switzerland (1,147)
140 (**Supplementary Figure S1**). A phylogenetic tree was constructed that included genomes
141 from mink and human SARS-CoV-2 strains. Mink isolates were divided into five and six
142 main groups, for the samples from the Netherlands and Denmark, respectively
143 (**Supplementary Figure S4**). We observed a common phylogenetic node between mink
144 isolates, the Marseille-4, Marseille-5, Marseille-6 variants and the 20H/501Y.V2 variant from
145 England. This node pointed to the above-described common mutation, Q57H in ORF3a. The
146 rapid emergence of the Marseille-4 variant during summer 2020, after the end of the first
147 epidemic phase, may point toward an animal reservoir. Mink farms were identified as
148 reservoirs and sources of SARS-CoV-2 mutants in the Netherlands in April (15), and in
149 Denmark in June 2020 (16). In France, one of the four mink farms was infected and animals
150 were culled. SARS-CoV-2 is an epizootic agent that caused an outbreak in humans before

151 being transferred to mink in which it spread rapidly through densely caged animal and
152 subsequently became a source for human infection. To date, more than 800 human infections
153 from minks have been reported (15). One hypothesis that may account for the discontinuous
154 mutation rate could be that a human SARS-CoV-2 from infected caregivers infected mink,
155 then the frequency of viral mutations changed in the mink due to a different host selection
156 pressure, and this mink-adapted virus (with multiple mutations) became a new source of virus
157 to infect humans. A genome obtained from a German patient sampled on March 24th
158 (EPI_ISL_7079562020-03-24) is atypical as it is devoid of the S477N substitution, one of the
159 Marseille-4 hallmark mutations, but harbors more mutations (31) than the other Marseille-4
160 strains, including in Nsp2, Nsp3, S and N proteins, and in ORF1b, particularly the Nsp14
161 exonuclease, which has a proofreading activity (8). The evolutionary relationships of this
162 genome with other Marseille-4 genomes warrant a further investigation with the availability
163 of other genomes obtained from samples collected during the same period.

164 **Clinical findings: Marseille-4 variant may escape immunity conferred by a first SARS-**
165 **CoV-2 infection**

166 Compared to the 20A variant that predominated during episode 1, the Marseille-4
167 variant was associated with a lower frequency of cough, rhinitis and olfactory and gustatory
168 disorders (**Table 2**). By contrast, hypoxemia was more frequent in patients infected with
169 Marseille-4 variant. It was reported that differences observed in COVID-19 severity may in
170 part be associated with dysfunction of cellular immune responses to SARS-CoV-2 and/or
171 weakness of neutralizing humoral response (17). We diagnosed two successive COVID-19
172 infections, separated by more than 4 months, in 11 patients. The first infection was diagnosed
173 when only the Wuhan-Hu-1 genotype was circulating in Marseille (18), and we obtained
174 genomic or PCR (1 and 10 patients, respectively) confirmation that the second episode was
175 caused by the Marseille-4 variant. This suggests either a short protective immunity (only a

176 few weeks or months) as previously observed with seasonal coronaviruses (19), or a lack of
177 cross-immunity between different SARS-CoV-2 variants, allowing Marseille-4 to evade
178 immune protection elicited by another earlier variant. This may be related to the S477N
179 mutation which could change the affinity of RBD for ACE2 and decrease the sensitivity of the
180 variant virus to anti-RBD-specific neutralizing antibodies (20).

181 **Conclusion**

182 The evolution of the SARS-CoV-2 virus may reflect the generation of new variants in
183 different ecosystems that may spread with globalization and replace the original variant issued
184 from Wuhan. The ecosystems allowing this selection may consist of human groups isolated
185 for a while, or animal reservoirs such as the huge mink farms. Large concentrations of farmed
186 minks were infected by human SARS-CoV-2. Under these conditions, sub-speciation may
187 occur (21). In the present case, the re-connection of isolated ecosystems (either countries
188 and/or farmed animals) where different variants had developed generated new outbreaks in
189 countries that were exposed to incoming populations such as travelers. This was in particular
190 the case for Mediterranean countries and, in France, for Marseille that received an elevated
191 number of tourists in summer 2020. We believe that the segregation of viral strains in isolated
192 geographical areas and in animal reservoirs, may indeed contribute to explain the differences
193 observed among epidemic curves around the world. This would help to understand the
194 mechanism of the second episode that developed in Marseille, initially caused by an African
195 variant that disappeared, and then by emerging new variants linked to different areas of
196 Europe, including those hosting huge mink farms. Finally, the role of the treatment of
197 COVID-19 by remdesivir (22) or hyperimmune plasma (19) in generating and selecting
198 variants may also have contributed to the new outbreaks observed in the most developed
199 countries.

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Ethical approval

The study was approved by the ethical committee of the Mediterranee Infection institute under reference 2020-016-2. Access to the patients' biological and registry data issued from the hospital information system was approved by the data protection committee of Assistance Publique-Hôpitaux de Marseille (APHM) and was recorded in the European General Data Protection Regulation registry under number RGPD/APHM 2019-73.

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Transparency declaration

The authors have no conflicts of interest to declare. Funding sources had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript.

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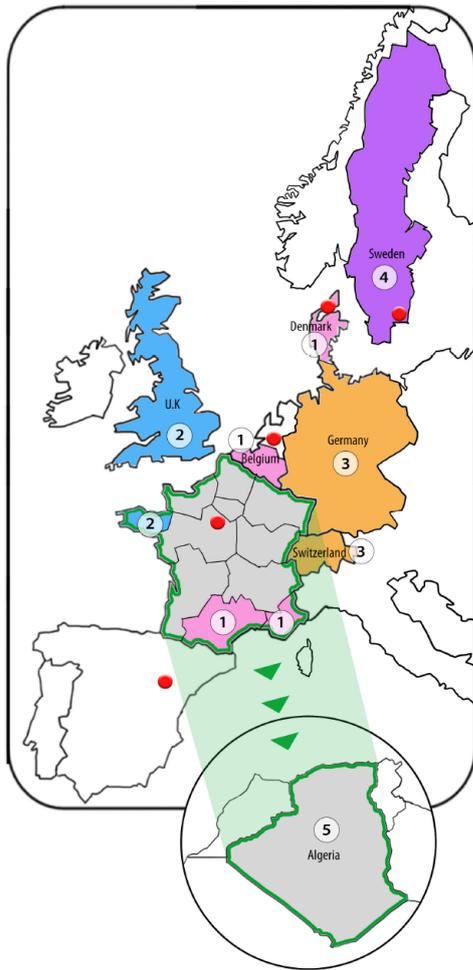
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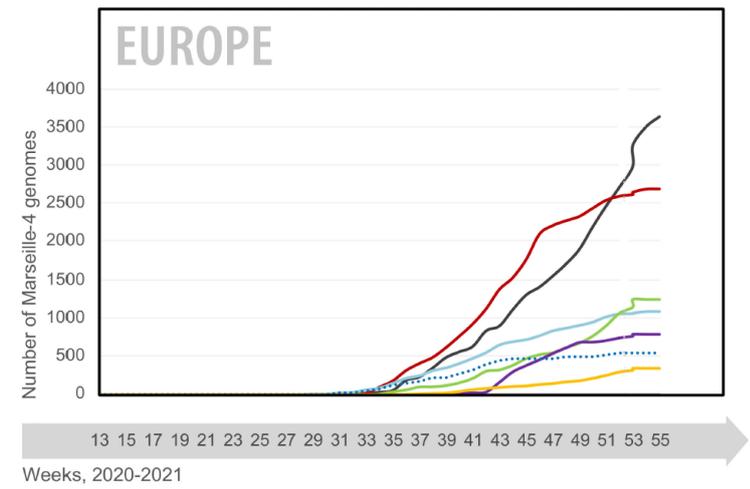
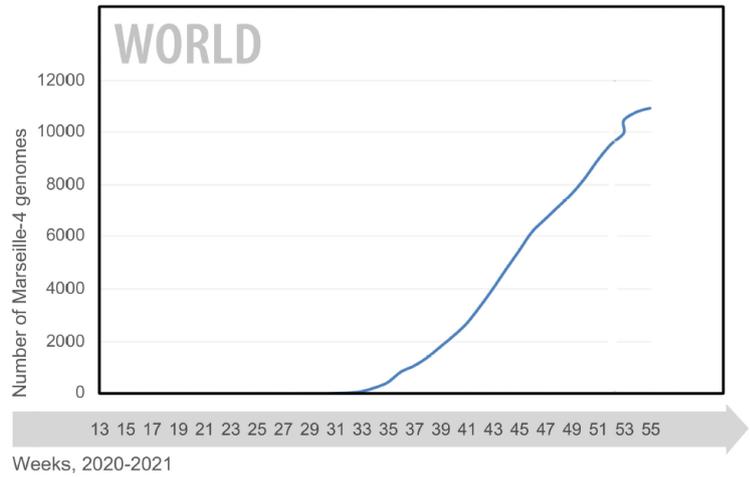
252 **FIGURES**

253 **Figure 1. Schematic of the evolution of the SARS-CoV-2 Marseille-4 variant in Europe.**

Epidemic of the Marseille-4 variant



- 1 Denmark, South France, Belgium : Week 28 - Week 30
- 2 Bretagne France, UK : Week 30 - Week 32
- 3 Switzerland, Germany : Week 33 - Week 34
- 4 Sweden : Week 34 - Week 35
- 5 Algeria : Week 38
- Mink farming



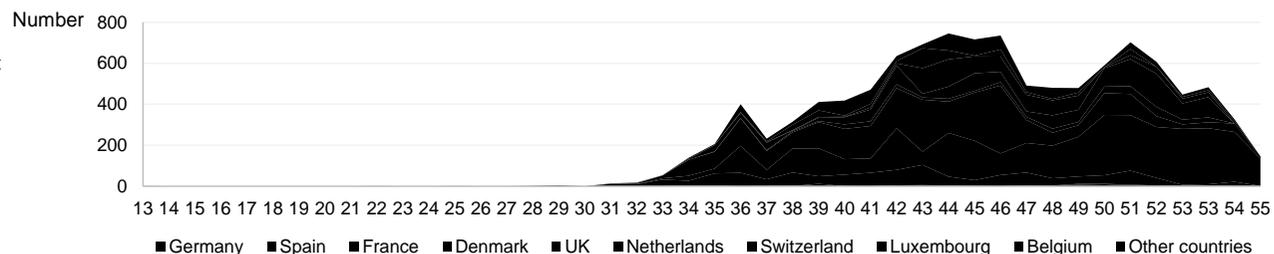
- IHUMI_Marseille
- Denmark
- Luxembourg
- Switzerland
- France
- UK
- Netherlands

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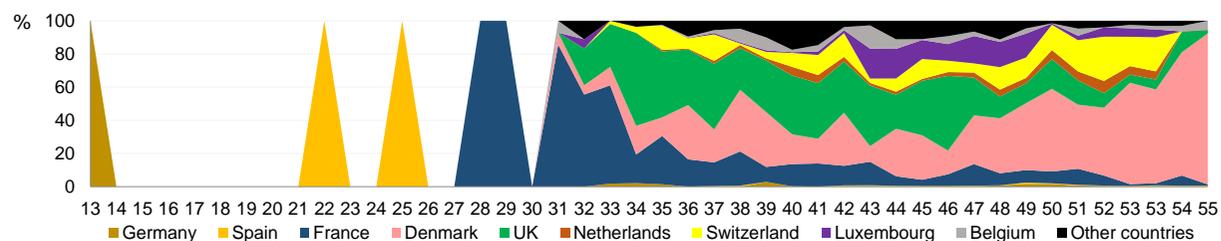
255 **Figure 2. Evolution of the Marseille-4 variant over time.**

a. World

a1. Weekly number of genomes of the Marseille-4 variant

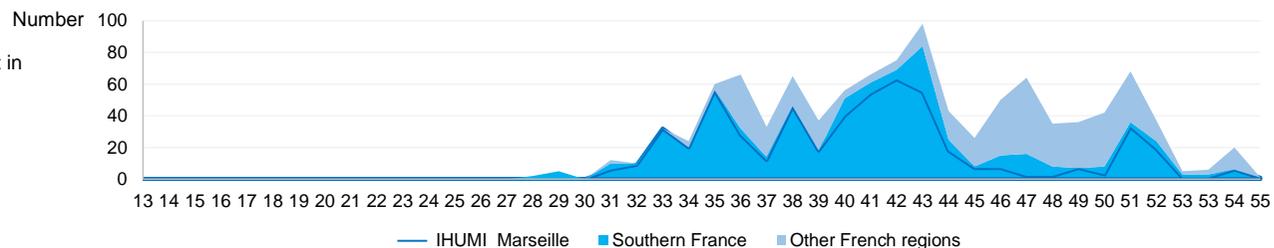


a2. Weekly frequency normalized to 100% of the countries where genomes of the Marseille-4 variant were obtained

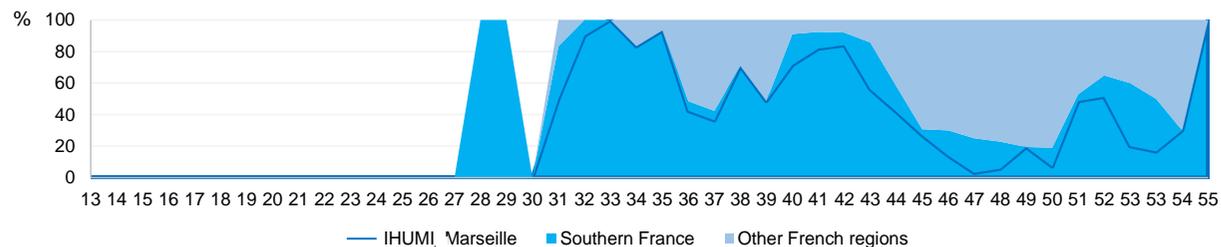


b. France

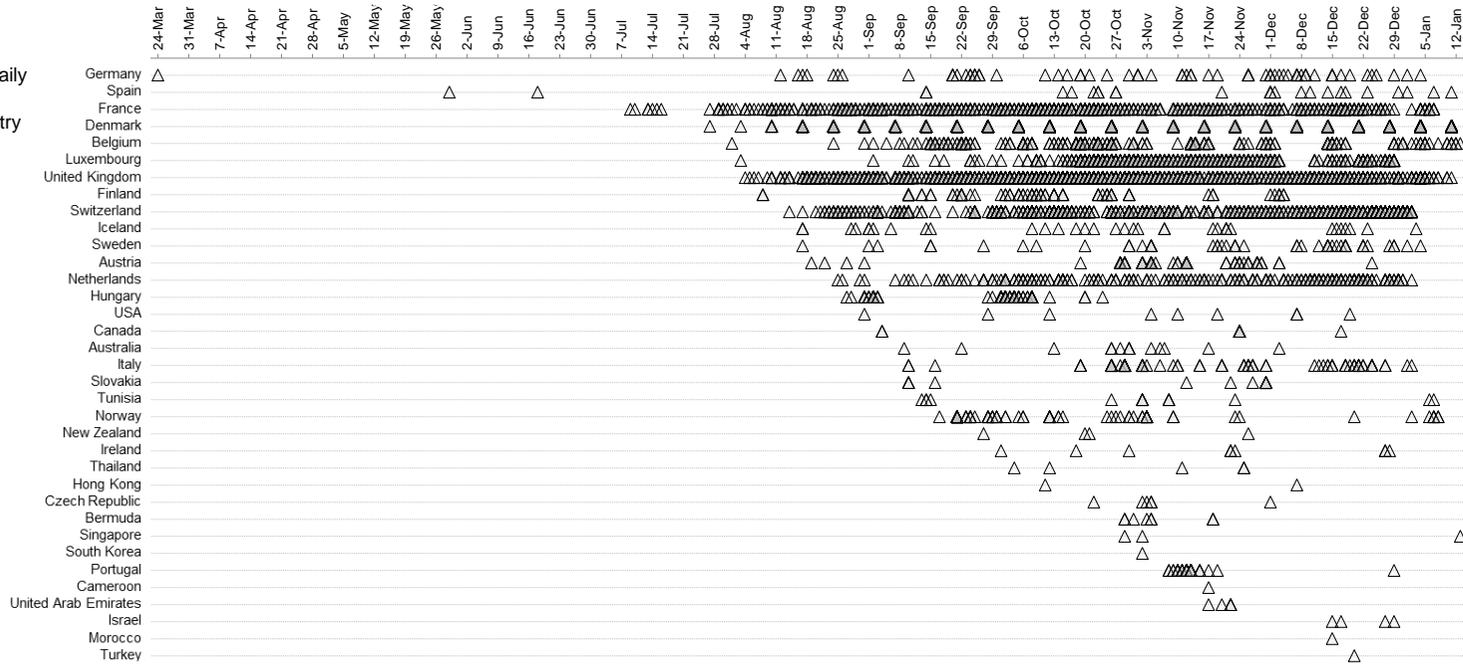
b1. Weekly number of genomes of the Marseille-4 variant in French regions



b2. Weekly frequency normalized to 100% of the French regions where genomes of the Marseille-4 variant were obtained



c. Time distribution of the daily number of genomes of the Marseille-4 variant per country



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258 **a. 1:** Weekly number of genomes of the Marseille-4 variant worldwide; **2:** Weekly frequency normalized to 100% of the countries where
 259 genomes of the Marseille-4 variant were obtained.

260 **b. 1:** Weekly number of genomes of the Marseille-4 variant in French regions; **2:** Weekly frequency normalized to 100% of the French regions
 261 where genomes of the Marseille-4 variant were obtained

262 **c.** Time distribution of the daily number of genomes of the Marseille-4 variant per country.

263 **Figure 3. Genome sequence-based phylogenetic trees showing the evolution of SARS-CoV-2 Marseille-4 variant strains.**

264 Full-length genome sequences obtained in our study were compared to those available in the GISAID database (<https://www.gisaid.org/>).

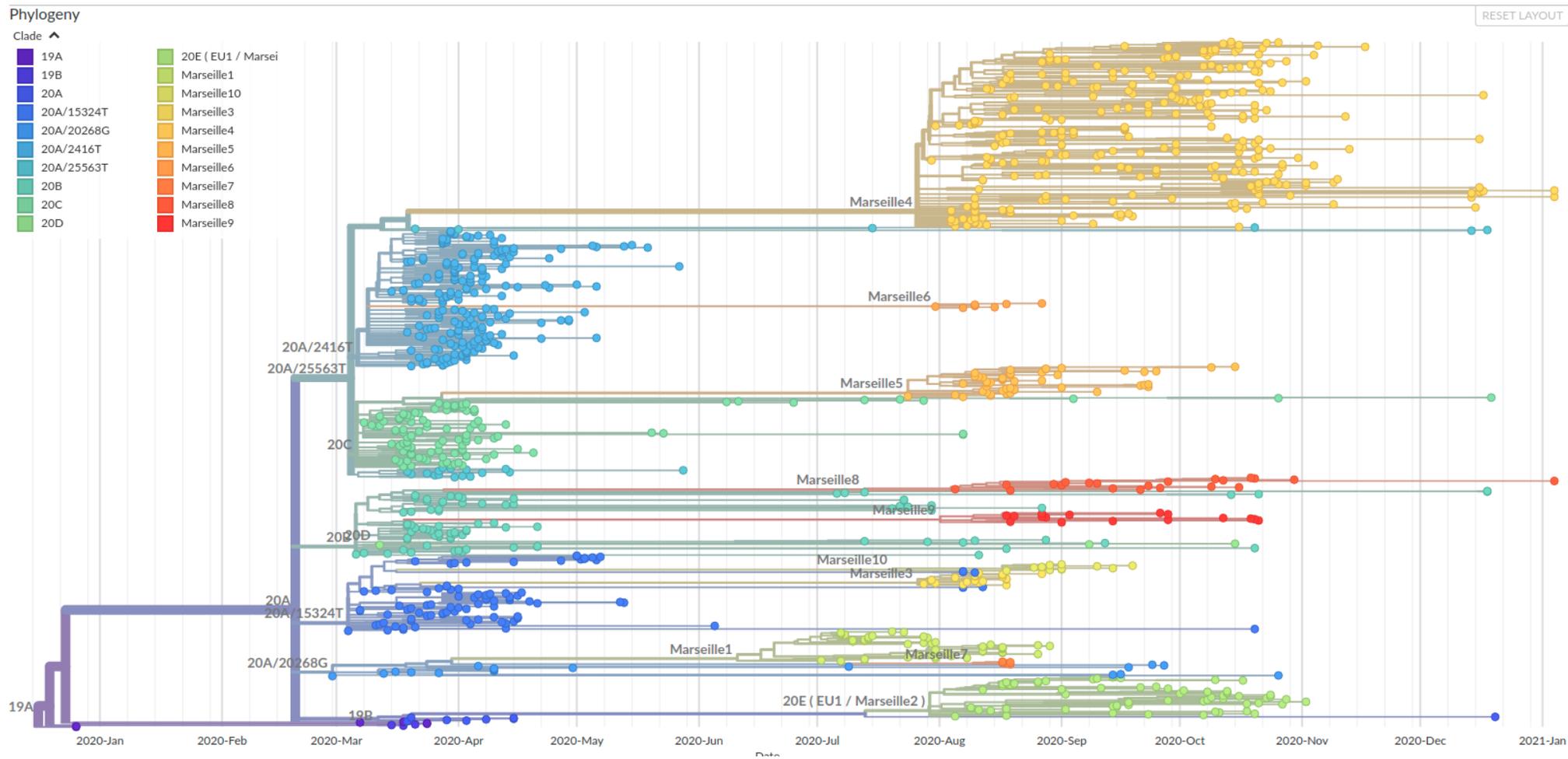
265 Phylogenetic trees were reconstructed and visualized by using the Nextclade and iTOL (<https://itol.embl.de/>) web tools, respectively. **A.** Time-

266 scale phylogenetic tree. **B.** Phylogenetic tree based on mutational events. We used the Nextstrain pipeline for our phylogenetic analyses

267 (<https://github.com/nextstrain/ncov/>).

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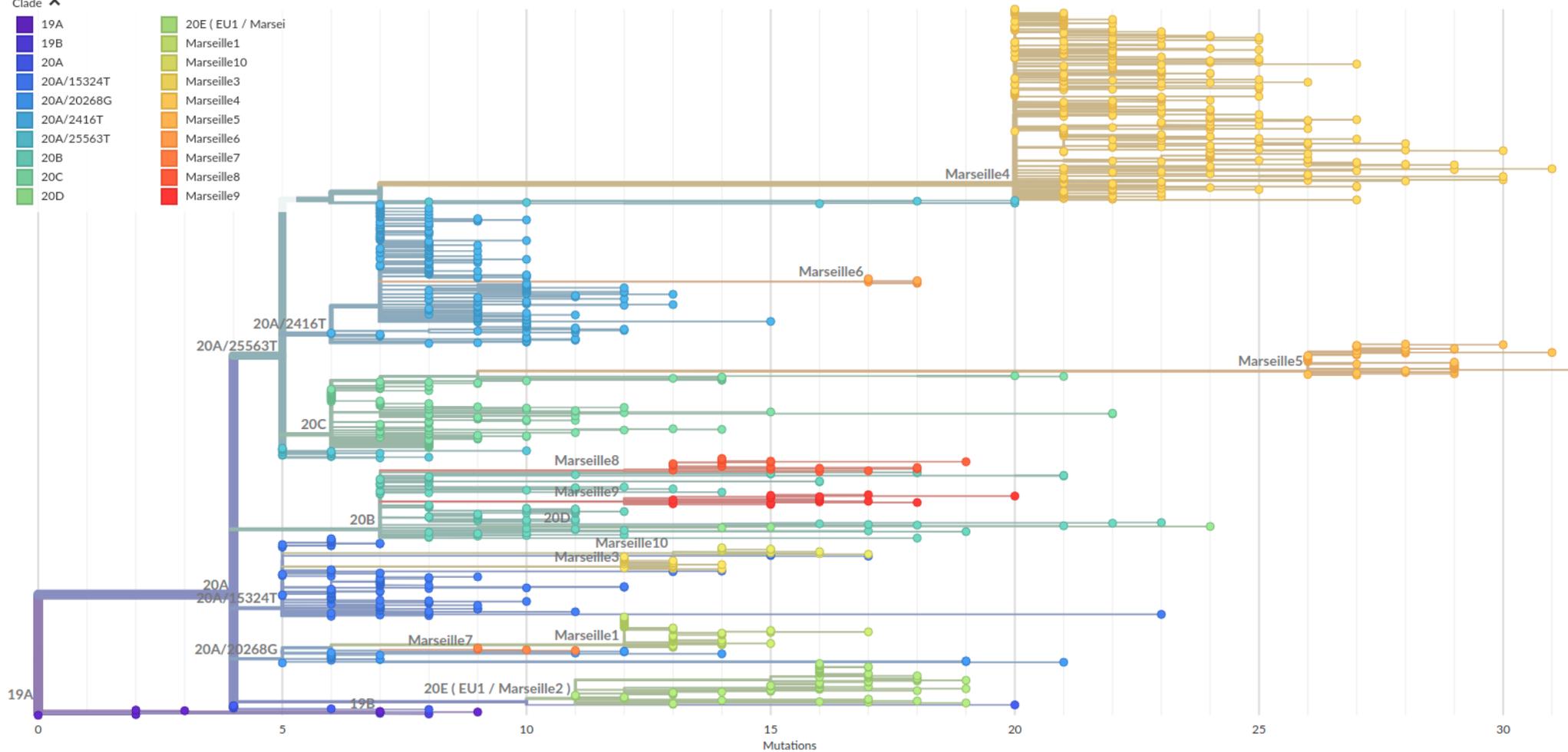
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B

Phylogeny

Clade ^

- 19A
- 19B
- 20A
- 20A/15324T
- 20A/20268G
- 20A/2416T
- 20A/25563T
- 20B
- 20C
- 20D
- 20E (EU1 / Marsei
- Marseille1
- Marseille10
- Marseille3
- Marseille4
- Marseille5
- Marseille6
- Marseille7
- Marseille8
- Marseille9

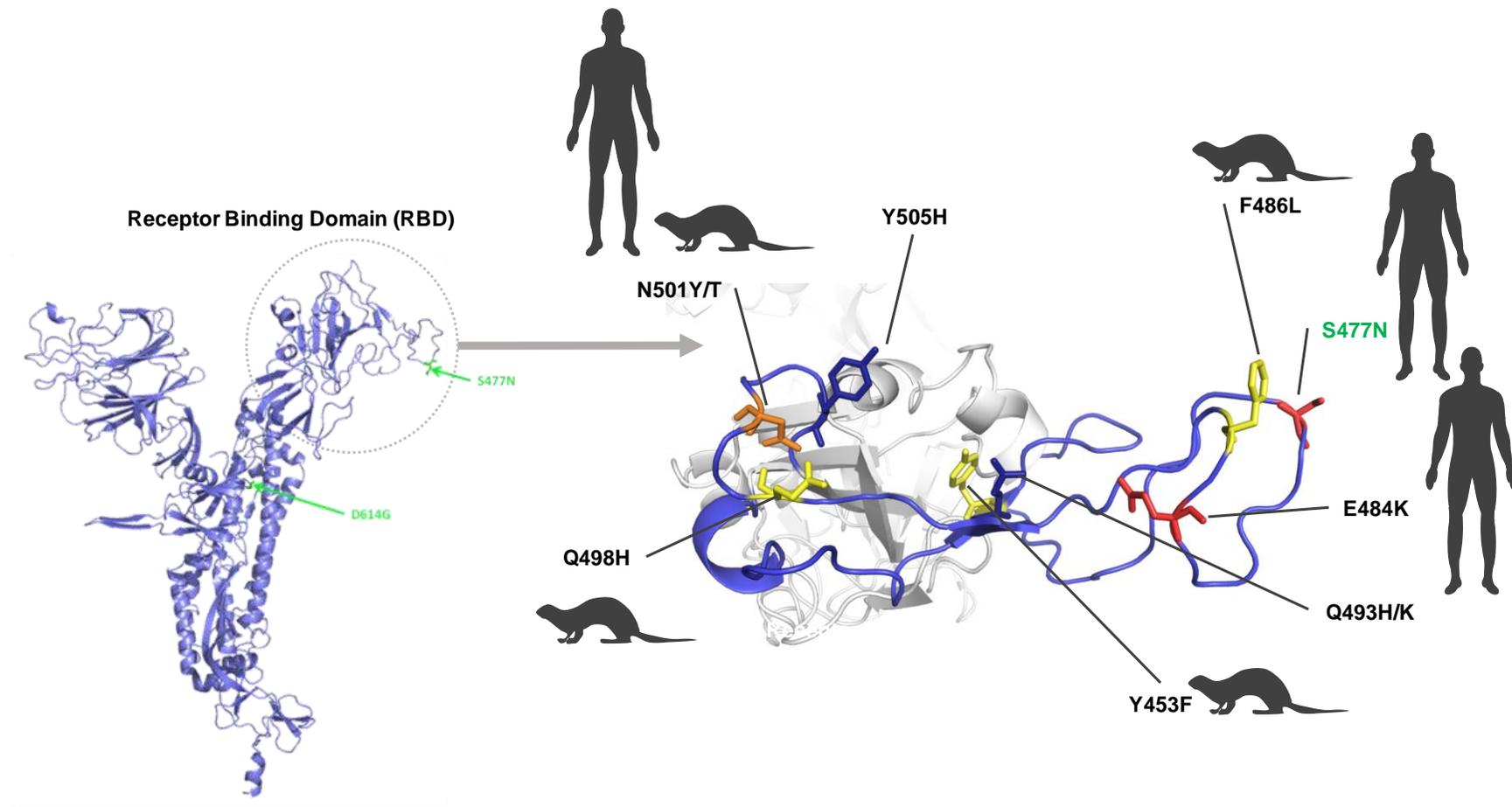


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277 **Figure 4. 3D structure of the spike protein showing the amino acid substitutions in the receptor-binding motif of the Marseille-4 variant**
278 **and of other variants detected in humans and/or minks**

279 The structure was predicted using the Phyre2 web portal (<http://www.sbg.bio.ic.ac.uk/~phyre2/html/page.cgi?id=index>) and visualized using the
280 Pymol tool v.1.8 (<https://pymol.org/2/>). Amino acids where a substitution was observed in humans are colored in red, where a substitution was
281 observed in minks are colored in yellow, and those where a substitution was observed in humans and minks are colored in orange.



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Table 2. Demographics, outcomes and clinical symptoms in patients infected with different SARS-CoV-2 variants

Demographics and outcomes (N=759)	20A (N=339)		Marseille-4 (N=420)		p-value*
	n	%	n	%	
Male gender	151	44.5	216	51.4	0.059
Age (mean ± SD)	50.2 ± 22.3		48.9 ± 23.1		0.41
Hospitalization	53	15.6	68	16.2	0.835
Transfer to intensive care unit	5	1.5	10	2.4	0.44
Death	10	2.9	16	3.8	0.52
Symptoms (N=444)	20A (N=254)		Marseille-4 (N=190)		p-value*
	n	%	n	%	
Cough	123	48.4	73	38.4	0.036
Rhinitis	106	41.7	37	19.5	<0.0001
Anosmia	76	29.9	35	18.5	0.006
Ageusia	71	27.9	34	18.0	0.015
Dyspnea	72	28.3	42	22.1	0.136
SpO2 <96%	37	14.6	42	22.1	0.04

* Chi2 or Fisher exact test for qualitative variables. Student test for quantitative variables

290 **SUPPLEMENTARY MATERIAL**

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292 **Supplementary Figures: 4; Supplementary Table: 1**

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296 **SUPPLEMENTARY METHODS**

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298 **Phylogeny**

299 A total of 1038 SARS-CoV-2 genomes were integrated in the phylogenetic analysis.

300 All genomes were aligned using MAFFT version 7 (1). A phylogenetic tree was reconstructed

301 using IQ-TREE with the GTR Model with ultra fast bootstrap of 1000 repetitions (2).

302 Phylogenetic tree was visualized with iTOL (Interactive Tree Of Life, ([https:// itol.embl.de/](https://itol.embl.de/))).

303

304 **PCR detection of SARS-CoV-2 Marseille-4 variant (a.k.a. Nextstrain clade 20A.EU2)**

305 A qPCR system targeting nucleotide positions 9,460-9,543 in reference to genome

306 GenBank accession number NC_045512.2 (Wuhan-Hu-1 isolate) within the nsp4 gene, was

307 designed. The primers and probe are described in Supplementary Table S1.

308

309 **Table S1. Primers and probe**

Name	Sequence (5'-3')	Positions *
<i>Primers:</i>		
Pri_IHU_C4_5_MBF	GAGGTTTAGAAGAGCTTTTGGTGA	9,460-9,483
Pri_IHU_C4_5_MBR	CCAGGTAAGAATGAGTAAACTGGTG	9,549-9,573
<i>Probe (6FAM-labelled):</i>		
Pro_IHU_C4_5_MBP	CCTTAT <u>TT</u> CATTCACTGTACTCTG	9,520-9,543

310 * in reference to genome GenBank accession number NC_045512.2 (Wuhan-Hu-1 isolate).

311 The nucleotide carrying the mutation specific of the Marseille-4 variant is covered by the

312 probe and underlined.

313

314 The qPCR was performed by adding 5 µL of extracted viral RNA to 15 µL of reaction

315 mixture containing 5 µL of 4X TaqMan Fast Virus 1-Step Master Mix (Thermo Fisher

316 Scientific, Grand Island, NY, USA), 0.5 µL of forward primer (10 pmol/µL), 0.5 µL of

317 reverse primer (10 pmol/µL), 0.4 µL of probe (10 pmol/µL), and 8.6 µL of water. PCR

318 conditions were as follows: reverse transcription at 50°C for 10 min, then a hold at 95°C for

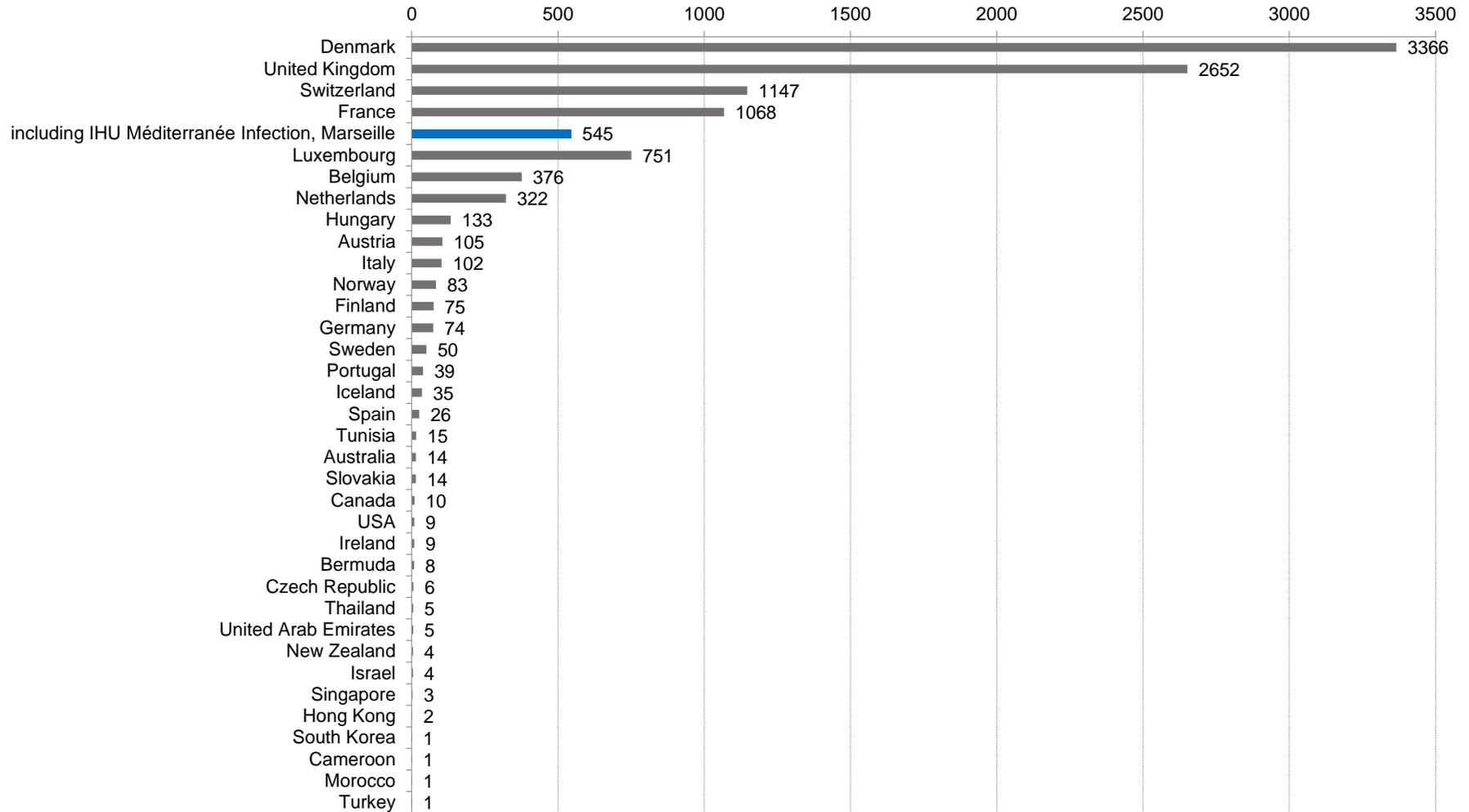
319 20 sec followed by 40 cycles comprising a denaturation step at 95°C for 15 sec and a
320 hybridization-elongation step at 60°C for 60 sec. This qPCR was run on a LC480
321 thermocycler (Roche Diagnostics, Mannheim, Germany).

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324 **SUPPLEMENTARY FIGURES**

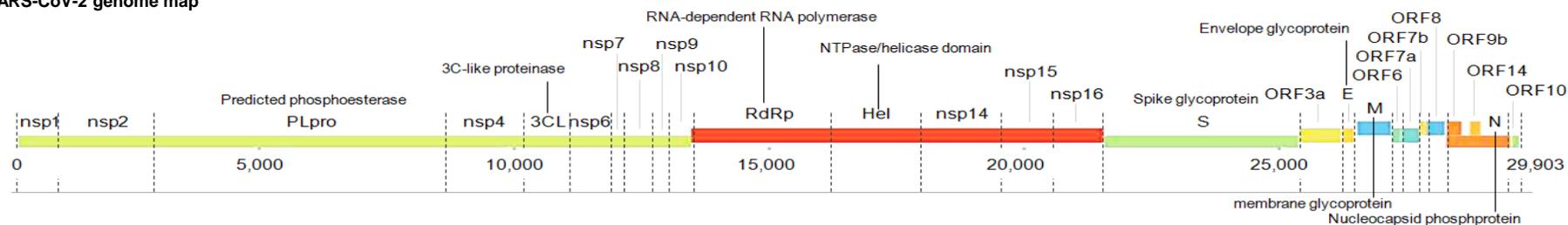
325 **Supplementary Figure S1. Numbers of SARS-CoV-2 Marseille-4 variant genomes deposited in the GISAID database and available in our**
326 **sequence database according to the country of origin**



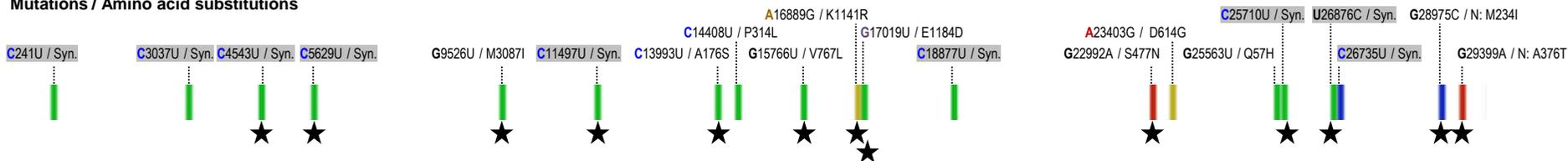
327

328 **Supplementary Figure S2. Mutations, amino acid substitutions and diversity in SARS-CoV-2 Marseille-4 strains.**

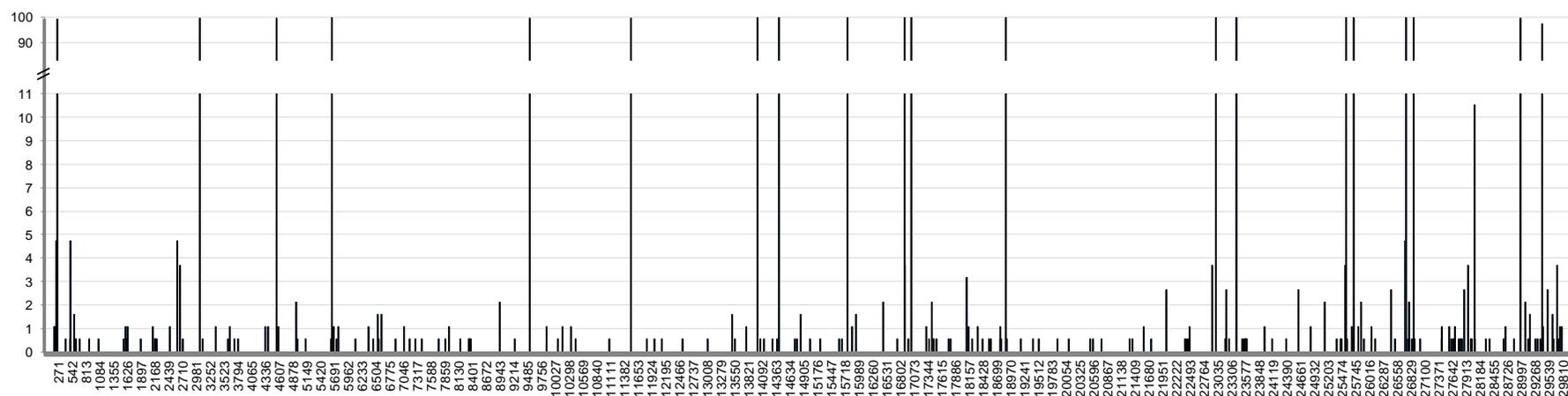
SARS-CoV-2 genome map



Mutations / Amino acid substitutions



Amino acid diversity (%)

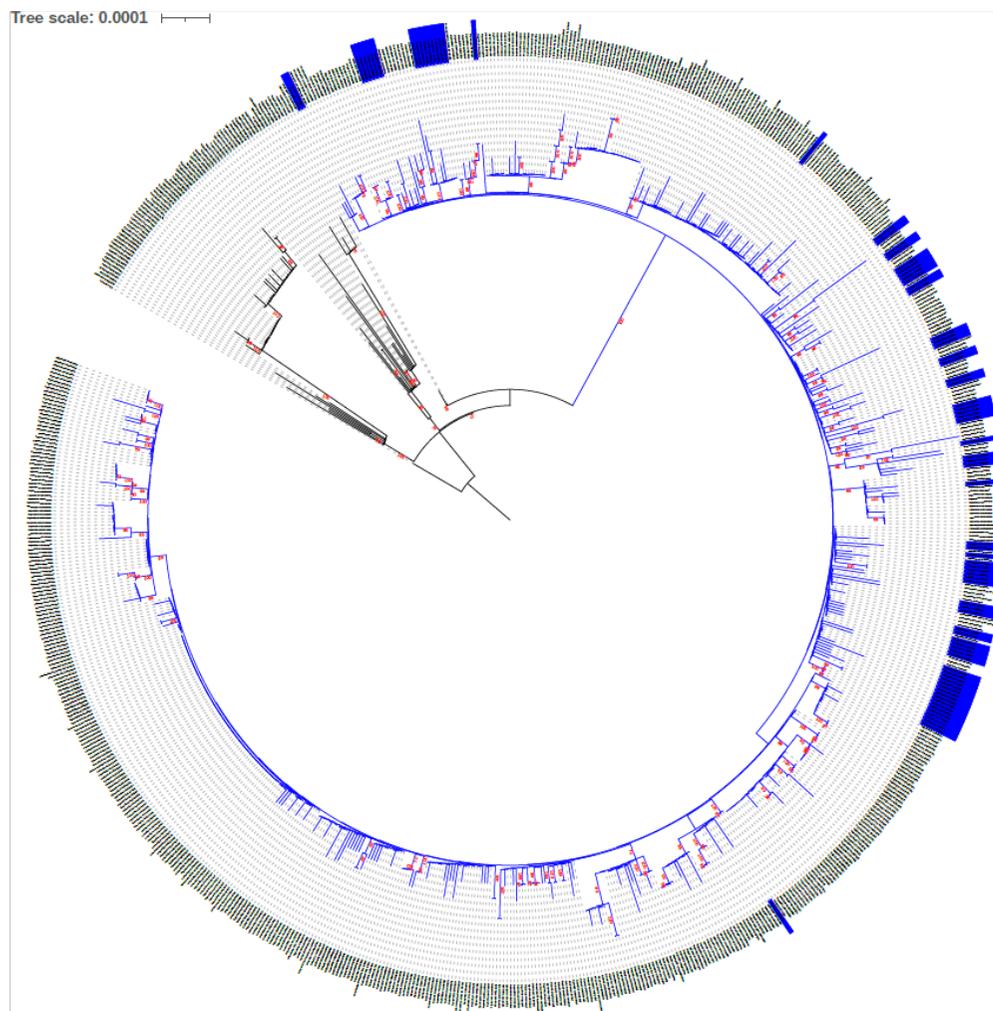


★ Genotype hallmark mutations

329

330 **Supplementary Figure S3. Phylogenetic tree based on SARS-CoV-2 full-length genomes.**

331 Phylogenetic tree reconstructed from full-length viral genomes obtained from clinical samples. Phylogenetic trees were reconstructed by using
332 the GISAID TreeTool in v2.0 that performs an initial approximate maximum likelihood phylogeny reconstruction using FastTree then a
333 refinement by RaXML.

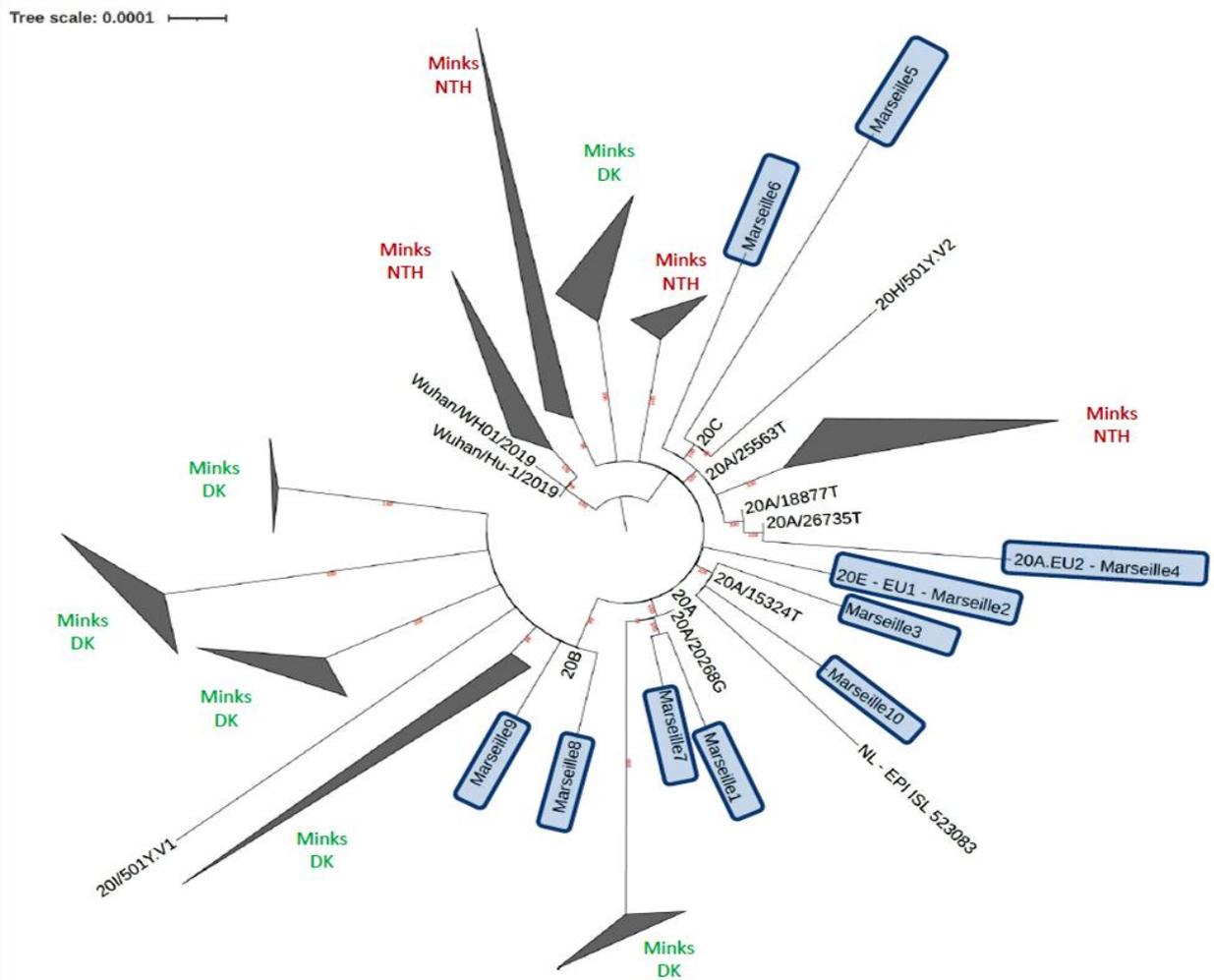


334

335 **Supplementary Figure S4. Phylogenetic tree based on SARS-CoV-2 full-length genomes.**

336 A total of 744 genomes of SARS-CoV2 were integrated in a phylogenetic analysis. All
337 genomes were aligned by using MAFFT version 7 (1). Phylogenetic tree was reconstructed by
338 using IQ-TREE with the GTR model with ultra fast bootstrap of 1000 repetitions (2), and
339 visualized with iTOL (Interactive Tree Of Life, ([https:// itol.embl.de/](https://itol.embl.de/))).

340 DK, Denmark; NTH, The Netherlands.



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347 2. B. Q. Minh *et al.*, *Mol. Biol. Evol.* **37**, 1530 (2020).

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